

Electronic Acknowledgement Receipt

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Title of Invention:	Balancing arbitragable tracking securities
First Named Inventor/Applicant Name:	Steven M. Bloom
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)
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1		reply09857_092001.pdf	2904380	yes	15
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Amendment - After Non-Final Rejection		1	1	
	Claims		2	7	
	Applicant Arguments/Remarks Made in an Amendment		8	15	
Warnings:					
Information:					
2	Extension of Time	ext09857_092001.pdf	127996	no	1
Warnings:					
Information:					
3	Information Disclosure Statement (IDS) Filed	ids09857_092001.pdf	579681	no	3
Warnings:					
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4	NPL Documents	NPL-1.pdf	773112	no	10
Warnings:					
Information:					
5	NPL Documents	NPL-2.pdf	158342	no	3
Warnings:					
Information:					
6	NPL Documents	NPL-3.pdf	288333	no	3
Warnings:					
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7	NPL Documents	NPL-4.pdf	169344	no	3
Warnings:					
Information:					

8	NPL Documents	NPL-5.pdf	152408	no	3
Warnings:					
Information:					
9	NPL Documents	NPL-6.pdf	152310	no	3
Warnings:					
Information:					
10	NPL Documents	NPL-7.pdf	461879	no	6
Warnings:					
Information:					
11	NPL Documents	NPL-8.pdf	147837	no	4
Warnings:					
Information:					
12	NPL Documents	NPL-9.pdf	698905	no	11
Warnings:					
Information:					
13	NPL Documents	NPL-10.pdf	227729	no	4
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Information:					
14	NPL Documents	NPL-11.pdf	129035	no	2
Warnings:					
Information:					
15	Fee Worksheet (PTO-06)	fee-info.pdf	8446	no	2
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